## The Dirac Equation Derived using 4-Vectors

It can be shown that spin comes from the Poincaré Symmetry of SR, not from a QM axiom. Writing a 4-SpinMomentum then leads naturally to the Dirac Equation.

First, we will do a little pure mathematics:

Let 
$$\{(a^2 - b^2) = (a+b)(a-b) = c^2\}$$

We can multiply by an arbitrary factor (xy):

$$(a^2 - b^2)(xy) = (a+b)(a-b)(xy) = c^2(xy)$$

If we impose the following extra constraint:

$$(a+b)x = (cy)$$

$$(a-b)y = (cx)$$

Then the separated equations are still true when multiplied together:

$$(a+b)x * (a-b)y = (cy) * (cx) \rightarrow (a+b)(a-b)(xy) = c^{2}(xy)$$

Now add and subtract the separated equations:

$$(a+b)x + (a-b)y = (cy) + (cx)$$

$$(a+b)x - (a-b)y = (cy) - (cx)$$

Gather terms in  $\{a,b,c\}$ :

$$a(x+y) + b(x-y) = c(x+y)$$

$$a(x-y) + b(x+y) = -c(x-y)$$

Let X=(x+y) and Y=(y-x)=-(x-y), just a change in variable names

$$aX - bY = cX$$

$$-aY + bX = cY$$

Rearrange:

$$aX - bY = cX$$

$$bX - aY = cY$$

Putting into matrix form:

$$[a - b] \quad [X] \quad \_ \quad [c \ 0] \quad [X]$$

$$[b-a] [Y] [0c] [Y]$$

Putting into suggestive matrix form...

$$([0-1]^{n}, [1\ 0]^{n})[Y] = [0\ 1][Y]$$

And again, to confirm that this matches the original equation:

$$aX - bY = cX$$

$$bX - aY = cY$$

$$(a-c)X = (b)Y$$

$$(b)X = (a+c)Y$$

Multiply the terms:

$$(a-c)X(a+c)Y = (b)X(b)Y$$
$$(a-c)(a+c)XY = (b)(b)XY$$

$$(a^2 - c^2)XY = (b^2)XY$$

$$(a^2 - c^2) = b^2$$

$$(a^2 - b^2) = c^2$$

So, mathematically:

 $(a^2 - b^2) = c^2$  is the defining equation, which holds equivalently for:

$$\{ (a^2 - b^2)(xy) = (c^2)(xy) \}$$

or

It is only the nature of  $\{x,y\}$  and  $\{X,Y\}$  that are different.

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<u>Pauli Matrices</u> are a set of dimensionless 2x2 matrices that may be used in totally classical contexts. In this regard, they are not a quantum postulate, but one of Poincaré Invariance.

$$\sigma^t = \sigma^0 = \mathbf{I_2} = \begin{bmatrix} 1 & 0 \end{bmatrix} \quad \sigma^x = \sigma^1 = \begin{bmatrix} 0 & 1 \end{bmatrix} \quad \sigma^y = \sigma^2 = \begin{bmatrix} 0 & -i \end{bmatrix} \quad \sigma^z = \sigma^3 = \begin{bmatrix} 1 & 0 \end{bmatrix}$$

$$\begin{bmatrix} 0 & 1 \end{bmatrix} \quad \begin{bmatrix} 1 & 0 \end{bmatrix} \quad \begin{bmatrix} 0 & -1 \end{bmatrix}$$

The Pauli Spin Matrices can be written in Tensor notation, with each component itself a dimensionless 2x2 matrix

$$\Sigma = \Sigma^{\mu}_{\nu} = \text{Diag}[\sigma^{0}, \sigma^{1}, \sigma^{2}, \sigma^{3}] = \text{Diag}[\sigma^{0}, \sigma] \rightarrow \text{Diag}[\sigma^{t}, \sigma^{x}, \sigma^{y}, \sigma^{z}]$$

In <u>classical mechanics</u>, Pauli matrices are useful in the context of the Cayley-Klein parameters.

The 3D matrix P corresponding to the 3-position x of a point in space is defined in terms of the above Pauli vector matrix,

$$\mathbf{P} = \mathbf{x} \cdot \mathbf{\sigma} = \mathbf{x} \cdot \mathbf{\sigma}^{\mathbf{x}} + \mathbf{y} \, \mathbf{\sigma}^{\mathbf{y}} + \mathbf{z} \, \mathbf{\sigma}^{\mathbf{z}}$$

The 4D matrix P corresponding to the 4-Position X of a point in spacetime is defined in terms of the above Pauli vector matrix,  $P = X \cdot \Sigma = \operatorname{ct} \sigma^t + x \sigma^x + y \sigma^y + z \sigma^z$ 

Suppose now that the vector  $\mathbf{x} = (x_1, x_2, x_3)$  is rotated around an axis with unit vector  $\mathbf{n} = (n_1, n_2, n_3)$  through an angle  $\theta$ .

The transformation matrix  $\mathbf{R}(\theta)$  for rotations about an axis through an angle  $\theta$  may be written in terms of Pauli matrices and the unit matrix. These can be gathered together in tensor notation with the 2D unit matrix =  $\mathbf{I_2} = \sigma^0$ .

$$\mathbf{R}_{x}(\theta) = e^{-i\theta x/2} = \sigma^{0} \cos(\theta/2) - i \sigma^{x} \sin(\theta/2) = \begin{bmatrix} \cos(\theta/2) & -i \sin(\theta/2) \end{bmatrix}$$
$$\begin{bmatrix} -i \sin(\theta/2) & \cos(\theta/2) \end{bmatrix}$$

$$\mathbf{R}_{y}(\theta) = e^{-i\theta y/2} = \sigma^{0} \cos(\theta/2) - i \sigma^{y} \sin(\theta/2) = \begin{bmatrix} \cos(\theta/2) - \sin(\theta/2) \end{bmatrix}$$
$$\begin{bmatrix} \sin(\theta/2) & \cos(\theta/2) \end{bmatrix}$$

$$\mathbf{\textit{R}}_{z}(\theta) = e^{-i\theta z/2} = \sigma^{0} \cos(\theta/2) - i \sigma^{z} \sin(\theta/2) = \begin{bmatrix} \cos(\theta/2) - i \sin(\theta/2) & 0 \\ 0 & \cos(\theta/2) + i \sin(\theta/2) \end{bmatrix} = e^{-i(\theta/2)} 0$$

For an angle  $\theta$  about an arbitrary axis **n** 

$$\mathbf{R}_{n}(\theta) = e^{-i\theta n/2} = \sigma^{0} \cos(\theta/2) - i (\mathbf{n} \cdot \mathbf{\sigma}) \sin(\theta/2) = \begin{bmatrix} \cos(\theta/2) - i \sin(\theta/2) \mathbf{n}_{3} & -\sin(\theta/2) (\mathbf{n}_{2} + i \mathbf{n}_{1}) \end{bmatrix} \\ \begin{bmatrix} \sin(\theta/2) (\mathbf{n}_{2} - i \mathbf{n}_{1}) & \cos(\theta/2) + i \sin(\theta/2) \mathbf{n}_{3} \end{bmatrix}$$

All of the relativistic wave equations can be derived from a common source:

The relativistic mass-energy relation, including spin, in an Electromagnetic (EM) field.

Note that this formalism fits well with the Stern-Gerlach experiment.

4-Momentum P = (E/c,p)

4-Momentum including Spin  $\mathbf{P_s} = \boldsymbol{\Sigma} \cdot \mathbf{P} = \boldsymbol{\Sigma}^{\mu} \boldsymbol{\nu} \mathbf{P}^{\nu} = \eta_{\alpha\beta} \boldsymbol{\Sigma}^{\mu\alpha} \mathbf{P}^{\beta} = \mathbf{P_s}^{\mu}$ 

 $\Sigma^{\mu}_{\nu}$  is the 4D (1,1)-Pauli Spin-Matrix Tensor = Diag[ $\sigma^{0}$ , $\sigma$ ]

 $\Sigma^{\mu\nu}$  is the 4D (2,0)-Pauli Spin-Matrix Tensor = Diag[ $\sigma^0$ ,- $\sigma$ ]

$$\mathbf{P_S} = \mathrm{Diag}[\sigma^0, -\sigma] \cdot \mathbf{P} = \mathrm{Diag}[\sigma^0, -\sigma] \cdot (\mathbf{E/c}, \mathbf{p}) = (\sigma^0 \mathbf{E/c}, \sigma \cdot \mathbf{p})$$

$$\mathbf{P_S} = (\mathbf{p_S}^0, \mathbf{p_S}) = (\mathbf{\sigma}^0 \mathbf{E}/\mathbf{c}, \mathbf{\sigma} \cdot \mathbf{p})$$

with  $\sigma^0$  as an identity matrix I of appropriate spin dimension and  $\sigma$  is the Pauli Spin Matrix Vector

4-Momentum inc. Spin in External Field  $P_T = (H/c, p_T) = (E_T/c, p_T)$  with:

 $H = E_T = Hamiltonian = Total Energy Of System$ 

 $p_T$  = Total 3-momentum Of System

4-TotalMomentum  $P_T = P + qA$ 

4-Momentum  $P = P_T - qA$ 

4-MomentumIncSpin  $\mathbf{P_s} = (\mathbf{p_s}^0, \mathbf{p_s}) = (\sigma^0 \mathbf{E}/\mathbf{c}, \boldsymbol{\sigma} \cdot \mathbf{p}) = (\sigma^0 (\mathbf{E_T/c} - \mathbf{q\phi/c}), \boldsymbol{\sigma} \cdot (\mathbf{p_T} - \mathbf{qa}))$ : Note each component is a 2x2 matrix

$$\textbf{P_s} \cdot \textbf{P_s} = (p_s^0)^2 - (\textbf{p_s})^2 = [\sigma^0(E/c)]^2 - [\boldsymbol{\sigma} \cdot (\textbf{p})]^2 = [\sigma^0(E_T/c - q\phi/c)]^2 - [\boldsymbol{\sigma} \cdot (\textbf{p_T} - qa)]^2 = (m_o c)^2 = (E_o/c)^2$$

The 4-Total Momentum (inc. External Field Minimal-Coupling and Spin)

$$\mathbf{P_s} = \boldsymbol{\Sigma} \cdot \mathbf{P} = \boldsymbol{\Sigma} \cdot (\mathbf{P_T} - \mathbf{qA}) = [\boldsymbol{\sigma}^0(\mathbf{E_T/c} - \mathbf{q}\boldsymbol{\phi/c}), \boldsymbol{\sigma} \cdot (\mathbf{p_T} - \mathbf{qa})]$$

with  $\Sigma = \Sigma^{\mu\nu}$  as the Pauli Spin Matrices, and taking the Einstein summation gives the  $\sigma^0$  and  $\sigma$ 

$$\begin{split} \mathbf{P_s \cdot P_s} &= (\boldsymbol{\mathcal{L} \cdot P})^2 = [\boldsymbol{\mathcal{L} \cdot (P_T - qA)}]^2 = [\boldsymbol{\sigma^0(E_T/c - q\phi/c)}]^2 - [\boldsymbol{\sigma \cdot (p_T - qa)}]^2 = (m_oc)^2 \\ (\boldsymbol{\mathcal{L} \cdot P})^2 &= (m_oc)^2 \\ (\boldsymbol{\mathcal{L} \cdot \partial})^2 &= -(m_o/\hbar)^2 \\ (\boldsymbol{\mathcal{L} \cdot \partial})^2 + (m_oc/\hbar)^2 &= 0 \\ (\boldsymbol{\mathcal{L} \cdot (D \cdot (i/h)qA)})^2 + (m_oc/\hbar)^2 &= 0 \end{split}$$

Now, to prove that this "Relativistic Pauli" Energy-Momentum equation can give the Dirac equation

$$\mathbf{Ps \cdot Ps} = [\sigma^{0}(E_{T}/c - q\phi/c)]^{2} - [\sigma \cdot (\mathbf{p_{T}} - q\mathbf{a})]^{2} = (p_{s}^{0})^{2} - (\mathbf{p_{s}})^{2} = (m_{o}c)^{2} = (E_{o}/c)^{2}$$

$$\mathbf{Ps \cdot Ps} = I(E_T/c - q\phi/c)]^2 - [\sigma \cdot (\mathbf{p_T} - q\mathbf{a})]^2 = (p_s^0)^2 - (p_s)^2 = (m_o c)^2 = (E_o/c)^2$$

$$\mathbf{Ps} \cdot \mathbf{Ps} = (\mathbf{p_s}^0)^2 - (\mathbf{p_s})^2 = (\mathbf{p_s}^0 + \mathbf{p_s}) (\mathbf{p_s}^0 - \mathbf{p_s}) = (\mathbf{m_oc})^2$$

$$\{(p_s^0)^2 - (p_s)^2\}(xy) = (m_o c)^2(xy)$$

From our math proof above, this is equivalent to:

or

Putting into highly suggestive matrix form...

let Spinor 
$$\Psi = \begin{bmatrix} [X] \\ [Y] \end{bmatrix}$$
 and note that  $\sigma^0 = I_2$ 

this is equivalent to Dirac Gamma Matrices (in Dirac Basis)...

$$\begin{split} &(\gamma^0p^0 - \gamma\boldsymbol{\cdot} \boldsymbol{p})\Psi = (m_oc)I\Psi \\ &(\boldsymbol{\Gamma}\boldsymbol{\cdot} \boldsymbol{P})\Psi = (m_oc)I\Psi \\ &(\boldsymbol{\Gamma}\boldsymbol{\cdot} \boldsymbol{P}) = (m_oc) \\ &(\boldsymbol{\Gamma}^\mu P_\mu)\Psi = (m_oc)\Psi \end{split}$$

$$i\hbar(\Gamma^{\mu}\partial_{\mu})\Psi=(m_{\circ}c)\Psi$$

 $\operatorname{Im}(\Gamma \cap O_{\mu}) \Psi = (\operatorname{m_o} \mathcal{C}) \Psi$ 

The Dirac Relativistic Quantum Equation for spin 1/2 particles

To recap, the SR 4-Vectors have some very simple, fundamental, and Invariant Lorentz Scalar relations between one another:

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 \begin{array}{lll} \text{4-Position} & \mathbf{R} = \mathbf{R}^{\mu} = (\mathbf{ct}, \mathbf{r}) \in < \mathbf{Event}> \in < \underline{\mathsf{Time}} \cdot \underline{\mathsf{Space}}> \\ \text{4-Velocity} & \mathbf{U} = \mathbf{U}^{\mu} = \gamma(\mathbf{c}, \mathbf{u}) = (\mathbf{U} \cdot \partial) \mathbf{R} = (\mathbf{d} / \mathbf{d} \tau) \mathbf{R} \\ \text{4-Momentum} & \mathbf{P} = \mathbf{P}^{\mu} = (\mathbf{E} / \mathbf{c}, \mathbf{p}) = (\mathbf{m}_{o}) \mathbf{U} \\ \text{4-WaveVector} & \mathbf{K} = \mathbf{K}^{\mu} = (\mathbf{\omega} / \mathbf{c}, \mathbf{k}) = (1 / \hbar) \mathbf{P} \\ \text{4-Gradient} & \partial = \partial^{\mu} = (\partial_{t} / \mathbf{c}, - \mathbf{V}) = (-\mathbf{i}) \mathbf{K} \\ \end{array} \right. \\ \begin{array}{ll} & \rightarrow (\mathbf{u} / \mathbf{c}, \mathbf{k}) \\ & \rightarrow (\mathbf{u} / \mathbf{c}, \mathbf{k}) \end{array}
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## Analysis of Dirac's Constant ( $h = h/2\pi$ ): Planck's Constant ( $h = 2\pi h$ ), and ( $E_o/\omega_o$ ) in the context of SRQM:

It is an empirical (observational) fact that the Lorentz Scalar Invariant  $(E_o/\omega_o) = (\gamma E_o/\gamma \omega_o) = (E/\omega) ==> (\hbar)$  [J·s]/{rad} for all known experimental measurements. The SR 4D-Tensor rules show that one doesn't need a quantum axiom for this. ( $\hbar$ ) is actually an empirically-measurable quantity, just like (c), (e), (G), (G), (G), (G) or the other fundamental constants, which are also 4D Lorentz Scalar Invariants. (G) can be measured classically {without need of quantum axioms} from the photoelectric effect, from the inverse photoelectric effect, from LED's (injection electroluminescence), from Atomic Line Spectra (Bohr Atom), from the Duane-Hunt Law in Bremsstrahlung, from Electron Diffraction in crystals, from the Watt/Kibble-Balance, from the Sagnac Effect, from Incandescent Blackbody Intensity-Temperature Relations, from Compton Scattering, from the Stern-Gerlach experiment, etc.

## For physics simulations of measurements of Dirac's: Planck's Constant (h:h), see:

http://scirealm.org/Physics-PlanckConstantViaAtomicLineSpectra.html (transitions of electron energy-levels) http://scirealm.org/Physics-PlanckConstantViaComptonScattering.html (photon:electron collisions) http://scirealm.org/Physics-PlanckConstantViaElectronDiffraction.html (electron:atomic crystal scattering) http://scirealm.org/Physics-PlanckConstantViaGravityInducedInterferometry.html (gravity interaction) http://scirealm.org/Physics-PlanckConstantViaIncandescence.html (temperature interaction) http://scirealm.org/Physics-PlanckConstantViaLEDs.html (electron:photon interaction) http://scirealm.org/Physics-PlanckConstantViaSagnacEffect.html (rotation interaction) http://scirealm.org/Physics-PlanckConstantViaSternGerlach.html (magnetic-field interaction)

 $\{E_o/\omega_o=E/\omega=\hbar\} \ \text{implies the following SR (wave:particle-like } \square \ \cdot \ ) \ \text{relation:}$ 

 $\mathbf{P} = \hbar \mathbf{K} = (\mathbf{E/c,p}) = \hbar(\omega/c,\mathbf{k}) = (\hbar\omega/c,\hbar\mathbf{k})$ 

The temporal part  $\{E = \hbar \omega\}$  gives Einstein's photoelectric quantum "postulate". Again, emphasis: <u>derived</u> from SR. The spatial part  $\{p = \hbar k\}$  gives de Broglie's matter-wave quantum "postulate". Again, emphasis: <u>derived</u> from SR.

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\partial = -i\mathbf{K} = (\partial_t/\mathbf{c}, -\nabla) = -i(\omega/\mathbf{c}, \mathbf{k})
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The temporal part  $\{\partial_t = -i\omega\}$  or  $\{\omega = i\partial_t\}$  gives temporal:frequency complex planewave change:operator The spatial part  $\{\nabla = i\mathbf{k}\}$  or  $\{\mathbf{k} = -i\nabla\}$  gives spatial:wavenumber complex planewave change:operator

## The standard Schrödinger QM Relations derived from SR:

Again, we examine these SR 4-Vector relations derived above... and by simply combining them...

 $P = \hbar K$  (a relation which is entirely empirical, based on just SR arguments, shown above)

 $K = i\partial$  (which is a relation for complex plane-waves, used in classical EM and elsewhere)

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\mathbf{P} = i\hbar \partial = (\mathbf{E/c,p}) = i\hbar (\partial_t/c, -\nabla)
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The temporal part  $\{E = i\hbar \partial_t = i\hbar \partial/\partial t\}$  gives unitary QM time evolution operator. Again, emphasis: <u>derived</u> from SR. The spatial part  $\{p = -i\hbar \nabla\}$  gives the QM momentum operator. Again, emphasis: <u>derived</u> from SR.

Relativistic version (Relativistic Pauli Equation)

$$\begin{split} & \mathbf{P}\mathbf{s} \cdot \mathbf{P}\mathbf{s} = [\underline{\sigma}^0 \left( E_T/c - q\phi/c \right)]^2 - [\underline{\boldsymbol{\sigma}} \cdot (\mathbf{p}_T - q\mathbf{a})]^2 = (m_o c)^2 = (E_o/c)^2 \\ & [\underline{\sigma}^0 \left( E_T/c - q\phi/c \right)]^2 - [\underline{\boldsymbol{\sigma}} \cdot (\mathbf{p}_T - q\mathbf{a})]^2 = (m_o c)^2 \\ & [\underline{\mathbf{I}} (E_T/c - q\phi/c)]^2 - [\underline{\boldsymbol{\sigma}} \cdot (\mathbf{p}_T - q\mathbf{a})]^2 = (m_o c)^2 \\ & [\underline{\mathbf{I}} (E_T/c - q\phi/c)]^2 - [\underline{\mathbf{I}} \cdot (\mathbf{p}_T - q\mathbf{a})]^2 - \mathrm{i} [\underline{\boldsymbol{\sigma}} \cdot ((\mathbf{p}_T \ x - q\mathbf{a}) + (-q\mathbf{a} \ x \ \mathbf{p}_T))] = (m_o c)^2 \\ & [\underline{\mathbf{I}} (E_T/c - q\phi/c)]^2 - [\underline{\mathbf{I}} \cdot (\mathbf{p}_T - q\mathbf{a})]^2 - \mathrm{i} [\underline{\boldsymbol{\sigma}} \cdot \mathrm{i} \hbar q((-\nabla_T \ x - \mathbf{a}) + (-\mathbf{a} \ x - \nabla_T))] = (m_o c)^2 \\ & [\underline{\mathbf{I}} (E_T/c - q\phi/c)]^2 - [\underline{\mathbf{I}} \cdot (\mathbf{p}_T - q\mathbf{a})]^2 - \mathrm{i} [\underline{\boldsymbol{\sigma}} \cdot \mathrm{i} \hbar q(\mathbf{B})] = (m_o c)^2 \\ & [\underline{\mathbf{I}} (E_T/c - q\phi/c)]^2 = [\underline{\mathbf{I}} \cdot (\mathbf{p}_T - q\mathbf{a})]^2 - \hbar q[\underline{\boldsymbol{\sigma}} \cdot \mathbf{B}] = (m_o c)^2 \\ & [\underline{\mathbf{I}} (E_T/c - q\phi/c)]^2 = [\underline{\mathbf{I}} \cdot (\mathbf{p}_T - q\mathbf{a})]^2 - \hbar q[\underline{\boldsymbol{\sigma}} \cdot \mathbf{B}] + (m_o c)^2 \end{split}$$

Non-relativistic version (Standard Pauli Equation)

$$\begin{split} & [\underline{\textbf{I}}(E_{\text{T}}/c - q\phi/c)]^2 - [\underline{\boldsymbol{\sigma}}\cdot(\boldsymbol{p}_{\text{T}} - q\boldsymbol{a})]^2 = (m_{\text{o}}c)^2 \\ & [\underline{\textbf{I}}(E_{\text{T}}/c - q\phi/c)]^2 = [\underline{\boldsymbol{\sigma}}\cdot(\boldsymbol{p}_{\text{T}} - q\boldsymbol{a})]^2 + (m_{\text{o}}c)^2 \\ & [\underline{\textbf{I}}(E_{\text{T}}/c - q\phi/c)] = (\pm) \; \text{Sqrt} \left[ [\underline{\boldsymbol{\sigma}}\cdot(\boldsymbol{p}_{\text{T}} - q\boldsymbol{a})]^2 + (m_{\text{o}}c)^2 \right] \\ & [\underline{\textbf{I}}(E_{\text{T}}/c - q\phi/c)] = (\pm) \; \text{Sqrt} \left[ [\underline{\boldsymbol{\sigma}}\cdot(\boldsymbol{p}_{\text{T}} - q\boldsymbol{a})]^2 + (m_{\text{o}}c)^2 \right] \\ & [\underline{\textbf{I}}(E_{\text{T}}/c - q\phi/c)] \sim (\pm) \; [[\underline{\boldsymbol{\sigma}}\cdot(\boldsymbol{p}_{\text{T}} - q\boldsymbol{a})]^2/(2m_{\text{o}}c) + (m_{\text{o}}c)] \\ & [\underline{\textbf{I}}(E_{\text{T}}/c - q\phi/c)] \sim (\pm) \; [([(\boldsymbol{p}_{\text{T}} - q\boldsymbol{a})]^2 - \hbar q[\underline{\boldsymbol{\sigma}}\cdot\boldsymbol{B}])/(2m_{\text{o}}c) + (m_{\text{o}}c^2)] \\ & [\underline{\textbf{I}}(E_{\text{T}} - q\phi)] \sim (\pm) \; [([(\boldsymbol{p}_{\text{T}} - q\boldsymbol{a})]^2 - \hbar q[\underline{\boldsymbol{\sigma}}\cdot\boldsymbol{B}])/(2m_{\text{o}}) + (m_{\text{o}}c^2)] \\ & \text{where the - } (\hbar q[\underline{\boldsymbol{\sigma}}\cdot\boldsymbol{B}])/(2m_{\text{o}}) \; \text{is the } \underbrace{\text{Stern-Gerlach term}} \end{split}$$